



Image

2823/19

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/197,534
		Filing Date	November 23, 1998
		First Named Inventor	Shunpei YAMAZAKI et al.
		Group Art Unit	2823
		Examiner Name	William D. COLEMAN
Total Number of Pages in This Submission		Attorney Docket Number	740756-1894

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Request for Acknowledgement of Information Disclosure Statements filed August 21, 2000, January 10, 2002, September 3, 2003 and March 12, 2004.
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jeffrey L. Costellia, Reg. No. 35,483 Nixon Peabody LLP 401 Ninth Street, N.W., Suite 900 Washington, DC 20004
Signature	
Date	April 9, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 20px; vertical-align: middle;"></span>			
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Docket: 740756-1894

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 09/197,534

Filed: November 23, 1998

For: LASER PROCESS

)

) Group Art Unit: 2823

) Examiner: William D. Coleman

) **Allowed: March 24, 2004**

) Confirmation No. 1382

**REQUEST FOR ACKNOWLEDGMENT OF  
INFORMATION DISCLOSURE STATEMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

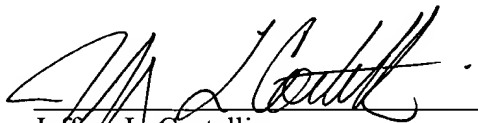
Sir:

Information Disclosure Statements with Forms PTO-1449 were filed in the above-identified patent application on August 21, 2000, January 10, 2002, September 3, 2003 and March 12, 2004. Applicants have not yet received back from the Examiner a copy of the Forms PTO-1449 initialed to acknowledge the fact that the Examiner has considered the cited disclosed information.

The Examiner is requested to initial and return to the undersigned a copy of the subject Forms PTO-1449.

Should there be any questions concerning this communication, please telephone the undersigned at the number set forth below.

Respectfully submitted,



Jeffrey L. Costellia  
Registration No. 35,483

NIXON PEABODY LLP  
401 9<sup>th</sup> Street, N.W., Suite 900  
Washington D.C. 20004-2128  
(202) 585-8000  
(202) 585-8080 (FAX)

This will acknowledge receipt of the following:

1. Information Disclosure Statement with Certificate of Mailing
2. Form PTO-1449

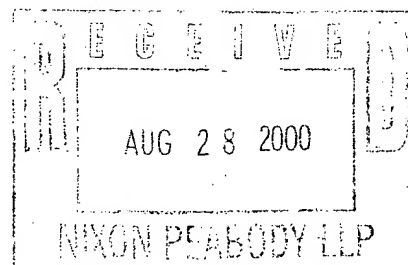
in re PATENT application of:

Shunpei YAMAZAKI et al.  
Serial No. 09/197,534  
Filed: 11/23/1998  
Title: LASER PROCESS  
Due date: 08/17/2000



0756-1894  
EJR/jas

*August 21, 2000*



*8/29/00*

Form PTO-1449  
(Rev. 8-83)U.S. Department of Commerce  
Patent and Trademark Office

Atty Docket 0756-1894

Serial No. 09/197,534

## INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI et al.

Filing Date: November 23, 1998

Group Art Unit: 2874

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
	4,262,208	04/14/1981	Suzki et al.			
	4,861,964	08/29/1989	Sinohara			
	4,937,459	06/26/1990	Ina			
	5,897,799	04/27/1999	Yamazaki et al.			
	5,968,383	10/19/1999	Yamazaki et al.			
	6,002,101	12/14/1999	Yamazaki et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes      No
	0 459 836	12/04/1991	EP			Engl.

Examiner

Date Considered

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

This will acknowledge receipt of the following:

1. Supplemental Information Disclosure Statement
2. PTO-1449 with one (1) reference



In re Patent Application of:

Inventor(s): Shunpei YAMAZAKI et al.

Serial No.: 09/197,534

Filed: November 23, 1998

Title: LASER PROCESS

**Due Date: September 3, 2003**

**Docket No. 740756-1894**

[JLC/plp]

Date: 9/3/2003

**HAND CARRY  
PLEASE DATE STAMP AND RETURN**

NVA274956.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Shunpei YAMAZAKI et al.  
Serial No. 09/197,534  
Filed: November 23, 1989  
For: LASER PROCESS

)  
) Examiner: William Coleman  
) Group Art Unit: 2823  
)  
)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

As noted in Applicants' previous Information Disclosure Statement filed August 20, 2003, Applicants hereby submit the English Translation of the publication Itoga et al. "Laser Re-Crystallization Utilizing Beam Homogenizing Optical System".

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By: 

Jeffrey L. Costellia  
Registration No. 35,483

NIXON PEABODY LLP  
8180 Greensboro Drive, Suite 800  
McLean, Virginia 22102

Telephone: (703) 770-9300

APR 09 2004  
JCS

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/197,534
		Filing Date	November 23, 1998
		First Named Inventor	Shunpei YAMAZAKI et al.
		Art Unit	2823
		Examiner Name	William D. Coleman
		Attorney Docket Number	740756-1894
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Itoga et al. "Laser Re-Crystallization Utilizing Beam Homogenizing Optical System" Japan Society of Applied Physics, Proceedings of 48 <sup>th</sup> Annual Meetings, 2 <sup>nd</sup> Part, 18 p-6-N-6, P. 537 (1987)	FULL TRANS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.